

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Brian L. Schmidt et al.

Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR

Docket No.: 279.339US3

Filed: March 18, 2004

Examiner: Unknown



Serial No.: 10/804,288

Due Date: N/A

Group Art Unit: Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ A Communication Concerning Related Applications (3 pgs.).
- ☒ A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (2 pgs.), and copies of 20 cited documents.

If not provided for in a separate paper filed herewith, Please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
Customer Number 21186

By: Peter C. Maki
Atty: Peter C. Maki
Reg. No. 42,832

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17 day of May, 2004.

Paula Suchy
Name

Paula Suchy
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
(GENERAL)



S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian L. Schmidt et al. Examiner: Unknown
Serial No.: 10/804,288 Group Art Unit: Unknown
Filed: March 18, 2004 Docket: 279.339US3
Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A
FLAT CAPACITOR

COMMUNICATION CONCERNING RELATED APPLICATIONS

Commissioner for Patents
Mail Stop: Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

| <u>Serial/Patent No.</u> | <u>Filing Date</u> | <u>Attorney Docket</u> | <u>Title</u> |
|--------------------------|---------------------|------------------------|--|
| 09/706,515 6,684,102 | November 3, 2000 | 279.088US1 | IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS |
| 09/706,518 6,687,118 | November 3, 2000 | 279.168US1 | FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS |
| 09/705,994 6,571,126 | November 3, 2000 | 279.169US1 | METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR |
| 09/705,976 6,522,525 | November 3, 2000 | 279.171US1 | IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES |
| 09/706,447 6,699,265 | November 3, 2000 | 279.234US1 | FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE |
| 09/706,517 | November 3, 2000 | 279.235US1 | FLAT CAPACITOR HAVING AN ACTIVE CASE |

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/804,288

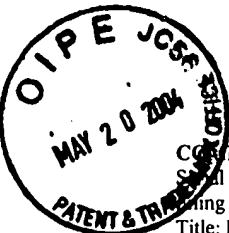
Filing Date: March 18, 2004

Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR

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Dkt: 279.339US3

| | | | |
|-------------------------|----------------------|------------|--|
| 09/706,576 | November 3, 2000 | 279.268US1 | CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS |
| 09/706,579 | November 3, 2000 | 279.271US1 | CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER |
| 09/706,519 6,509,588 | November 3, 2000 | 279.339US1 | METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR |
| 10/360,551 | February 7, 2003 | 279.378US1 | BATTERIES INCLUDING FLAT PLATE DESIGN |
| 10/287,285 6,674,634 | November 4, 2002 | 279.171US2 | IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES |
| 10/758,677 | January 15, 2004 | 279.234US3 | FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE |
| 10/418,616 | April 17, 2003 | 279.169US2 | METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR |
| 10/728,655 | December 5, 2003 | 279.168US2 | FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS |
| 10/736,209 | December 15, 2003 | 279.088US2 | IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS |
| 10/729,424 | December 5, 2003 | 279.171US3 | IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES |
| 10/758,701 | January 15, 2004 | 279.234US4 | FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE |



COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/804,288

Filing Date: March 18, 2004

Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR

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Dkt: 279.339US3

Respectfully submitted,

BRIAN L. SCHMIDT ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

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Date

5/17/04

By

Peter C. Maki

Peter C. Maki

Reg. No. 42,832

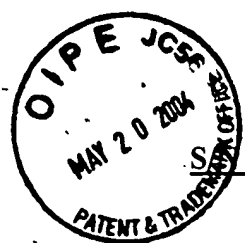
CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, MS: Amendment, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17 day of May, 2004.

Name

Paula Seck

Signature

Paula Seck



S 10/804,288

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

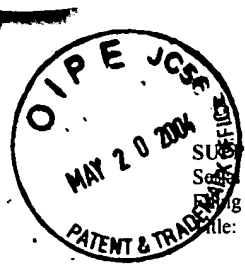
| | | | |
|-------------|--|-----------------|------------|
| Applicant: | Brian L. Schmidt et al. | Examiner: | Unknown |
| Serial No.: | 10/804,288 | Group Art Unit: | Unknown |
| Filed: | March 18, 2004 | Docket: | 279.339US3 |
| Title: | METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR | | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Mail Stop: Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.



The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

BRIAN L. SCHMIDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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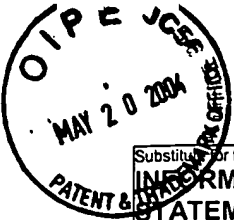
Date 5/17/04

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Paula Suchy
Name

Paula Suchy
Signature



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Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|----------------|
| Application Number | 10/804,288 |
| Filing Date | March 18, 2004 |
| First Named Inventor | Schmidt, Brian |
| Group Art Unit | Unknown |
| Examiner Name | Unknown |

Sheet 1 of 2

Attorney Docket No: 279.339US3

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|-------|----------|----------------------------|
| | US-2003/0195568 A1 | 10/16/2003 | O'Phelan, Michael J., et al. | 607 | 5 | 04/17/2003 |
| | US-4,481,083 | 11/06/1984 | Ball, John A., et al. | | | 08/31/1983 |
| | US-6,509,588 | 01/21/2003 | O'Phelan, Michael J., et al. | 257 | 209 | 01/01/2003 |
| | US-6,522,525 | 02/18/2003 | O'Phelan, Michael J., et al. | 361 | 509 | 11/03/2000 |
| | US-6,571,126 | 05/27/2003 | O'Phelan, Michael J., et al. | 607 | 5 | 11/03/2000 |
| | US-6,674,634 | 01/06/2004 | O'Phelan, Michael J., et al. | 361 | 509 | 11/04/2002 |
| | US-6,684,102 | 01/27/2004 | O'Phelan, Michael J., et al. | 607 | 5 | 11/03/2000 |
| | US-6,687,118 | 02/03/2004 | O'Phelan, Michael J., et al. | 361 | 508 | 11/03/2000 |
| | US-6,699,265 | 01/02/2004 | O'Phelan, Michael J., et al. | 607 | 1 | 11/03/2000 |
| | US-6,709,946 | 03/23/2004 | O'Phelan, Michael J., et al. | 438 | 396 | 11/19/2002 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
|--------------------|---------------------|------------------|---|--------------|----------|----------------|
| | JP-52-004051 | 01/12/1977 | Nakamura, Jin , et al. | h01g 9/04 | | |

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------------------|---|----------------|
| | | O'PHELAN, MICHAEL J., et al., "BATTERIES INCLUDING A FLAT PLATE DESIGN", Application Serial No. 10/360,551, Filed February 7, 2003 Attorney Docket No. 279.378US1, 121 pgs. | |
| | | O'PHELAN, MICHAEL J., et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 09/706,579, Filed November 3, 2000 Attorney Docket No. 279.271US1, 29 pgs. | |
| | | O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,701, Filed January 15, 2004 Attorney Docket No. 279.234US4, 219 pgs. | |
| | | O'PHELAN, MICHAEL J., "FLAT CAPACITOR HAVING AN ACTIVE CASE", | |

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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|-----------------------------|----------------|
| Application Number | 10/804,288 |
| Filing Date | March 18, 2004 |
| First Named Inventor | Schmidt, Brian |
| Group Art Unit | Unknown |
| Examiner Name | Unknown |

Sheet 2 of 2

Attorney Docket No: 279.339US3

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | | <u>Application Serial No. 09/706,517, Filed November 3, 2000 Attorney Docket No. 279.235US1, 39 pgs.</u> | |
| | | <u>O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS", Application Serial No. 10/728,655, Filed December 5, 2003 Attorney Docket No. 279.168US2, 65 pgs.</u> | |
| | | <u>O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS", Application Serial No. 10/736,209, Filed December 15, 2003 Attorney Docket No. 279.088US2, 19 pgs.</u> | |
| | | <u>O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES", Application Serial No. 10/729,424, Filed December 4, 2003 Attorney Docket No. 279.171US3, 28 pgs.</u> | |
| | | <u>SCHMIDT, BRIAN L., et al., "CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS", Application Serial No. 09/706/576, Filed November 3, 2000 Attorney Docket No. 279.268US1, 25 pgs.</u> | |

EXAMINER**DATE CONSIDERED**

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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached